ATTORNEY DOCKET NO. 11321-P011C1D8

PATENT 10/027,726

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application:

Colbert, et al.

Serial No.:

10/027,726

Filed:

December 21, 2001

Group Art Unit:

2881

Examiner:

Not Yet Assigned

Title:

CONTINUOUS FIBER OF SINGLE-WALL CARBON NANOTUBES

Mail Stop Initial Patent Processing Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error in that the following is:

incorrectly entered:

a. please correct the correspondence address to read as follows:

XX omitted

Applicant's name

Applicant's address

Title

Filing Date

Serial Number

Foreign/PCT Application Reference

XX Other – not all inventors are identified.

On July 2, 2003 an Amended Declaration and Power of Attorney was filed for this application identifying all ten (10) inventors. To date, a corrected filing receipt has not yet been received. Accordingly, please issue a corrected filing receipt identifying the following ten (10) inventors:

JCWSCS 1 2 NOV 2003

PTO/SB/97 (08-00)
Approved for use through 10/31/2002 OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to re-point to a conection of information unities it contains a valid OMB control number

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

October 22, 2003

Date

Signature

GRACIE SOLIS

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

To: Facsimile No 703.308.7751

in re Application of Colbert, et al.

Serial No. 10/027,726 Filed: December 21, 2001 Group Art Unit 2881

Examiner Not Yet Assigned

Title: Continuous Fiber of Single-Wall Carbon Nanotubes

Attached. Request for Corrected Filing Receipt - 4 pages

Attorney Docket No. 11321-P011C1D8

ATTORNEY DOCKET NO. 11321-P011C1D8

PATENT 10/027,726

COLBERT, Daniel T.; Houston, Texas

DAI, Hongjie.; Sunnyvale, California

HAFNER, Jason H.; Houston, Texas

RINZLER, Andrew G.; Newberry, Florida

SMALLEY, Richard E.; Houston, Texas

LIU, Jie, Chapel Hill, North Carolina .

SMITH, Kenneth A.; Katy, Texas

GUO, Ting; Davis, California

NIKOLAEV; Pavel; Houston, Texas

THESS, Andreas; Kunsterdingen, Germany

PLEASE ALSO NOTE THAT APPLICANT DOES NOT CLAIM "SMALL ENTITY STATUS."

- 3. (complete the following applicable ttem A or B)
 - A. XX The correction is not due to any error by applicant and no fee is due. However, should any fee be due by this paper, the Director is hereby authorized to charge any amounts due to Deposit Account Number 23-2426 of Winstead Sechrest & Minick P.C.
 - B. The correction is due to applicant's error and the fee therefore under 37 CFR 1.19(h) of \$25 00 is paid as follows.

Enclosed is check for \$____

Charge Deposit Account

Dated: October 22, 2003

Ross Spencer Garsson

Reg. No. 38,150

WINSTEAD SECHREST & MINICK, P.C.

P.O. Box 50784 1201 Main Street Dallas, TX 75250-0784 Tel. No. 512.370.2870



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
MAGNIACTOR O C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/027,726	12/21/2001	2881	370	11321- P011C1D8	14	10	3

CONFIRMATION NO. 2764

Hugh R. Kress WINSTEAD SECHREST & MINICK P.C 2400 Bank One Center 910 Travis Street Houston, TX 77002



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please write to the Office of Initial Patent Examination's Filling error is noted on this Filling Receipt, please write to the Office of Initial Patent Examination's Filling Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit to the Notice, the USPTO will generate another Filling Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Daniel T Colbert, Houston, TX; Hongjie Dai, Sunnyvale, CA, Jason H. Hafner, Somerville, MA; Andrew G. Rinzier, Newberry, FL, Richard E. Smalley, Houston, TX. KENNETH A. SMITH, KATY, TX
TINGGUO, DAVIS, CA
PAVEL NIKOLAEVI HOUSTEN, TX
ANORGAS THESS, ICUNSTERDINGER
GERMANY

Assignment For Published Patent Application

William Marsh Rice University;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 10/000,746 11/30/2001 WHICH IS A CON OF 09/242,040 09/13/1999 ABN WHICH IS A 371 OF PCT/US97/13896 08/08/1997 WHICH CLAIMS BENEFIT OF 60/023,732 08/08/1996

Foreign Applications

If Required, Foreign Filing License Granted 03/26/2002

Projected Publication Date: 09/12/2002

Non-Publication Request: No

Early Publication Request: No

"SMALLENTITY" DELETE / NOT SMALL ENTITY

Title

Continuous fiper of single-wall carbon nanotubes

Preliminary Class

250

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U S C 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1 53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5 12, if a license is desired before the expiration of 6 months from the filing date of the application if 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5 15(b)